



VIRTUAL

BSA/AML Fundamentals School

WHEN

January 30-31, 2024
9:00 a.m. - 4:00 p.m.

WHERE

Comfort of your own desk

The financial industry is required to take an increased leading role in the prevention of money laundering and potential terrorist funding. In addition, compliance with the Bank Secrecy Act (BSA) continues to be a top priority with the regulators. One major requirement of BSA is to ensure that the BSA Officer and the BSA Team are well trained and qualified to carry out the duties of this critical position. The BSA/AML Fundamentals School provides the framework for understanding the BSA team's roles and responsibilities for BSA/AML and OFAC compliance.

BSA is an on-going, ever-changing "safety and soundness" issue. This School will provide current, updated tools, techniques, and "real world" practice and examples for learning the critical components of a BSA/AML Program. We will review the basic BSA regulatory framework and provide you with an understanding of the BSA, OFAC, and core recordkeeping and reporting requirements. During this program, the attendees will utilize the FFIEC BSA/AML Examination Manual and 31 CFR Chapter X as well as receive tools, handouts, and best practices.

- Money Laundering and History of Bank Secrecy Act
 - Today's BSA Officer and Team – Understanding the Key Job Duties and Responsibilities
 - The Tools of BSA – Maximizing BSA Resources
 - The Role of FinCEN – Including the Shift to Joint Agency
 - BSA/AML Violations and Penalties – How to Minimize the Risks
 - BSA/AML Risk Assessments – Moving from Prescriptive to Risk Focused
 - BSA/AML Compliance Program and Key Components
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- Customer Identification Program
 - Red Flags Focus
 - Customer Due Diligence and UPDATED Beneficial Ownership
 - BSA Reporting and Record Keeping – Common Practices and Challenges
 - Suspicious Activity Reporting (SAR)
 - Office of Foreign Asset Control (OFAC) Compliance
 - Hot Topics and Emerging Trends – Including Fraud and Other Illicit Financial Activity
 - Record Retention

ABOUT THE INSTRUCTOR



Dianne Barton is president of Performance Solutions, inc. (PSi), a training and consulting company that specializes in providing solutions to the key challenges facing banks in attracting and building relationships with customers. PSi's training programs are designed to "close the gap" between the banks' needs and employees' skills. Her trademark "real world" scenarios give participants insight into the practical application of new skills and knowledge.

REGISTRATION FEES

ABA Members:

Early Registration Price: \$680; After January 9: \$780

Non-Members:

Early Registration Price: \$1,360; After January 9: \$1,560

NOTE

Registration fees are per person, not connection. Please register each person in attendance separately.

CANCELLATION

Full registration fees will be refunded if a cancellation is received before January 16. No refunds will be given for cancellations made after January 16. All cancellations must be submitted in written format prior to the event.

VIRTUAL LIVE FORMAT

Attendees will need Internet access and a standard web browser to join this video and web conferencing. They will receive an email with a link to join the virtual meeting, handouts, and any additional information a few days before the event.

You do not need your own Zoom account. You will use the link, meeting ID and password we provide.

- You can log in on a desktop computer, laptop or download the Zoom app on your smart device.
- Internet access
- Audio on computer or a phone line

BSA/AML FUNDAMENTALS SCHOOL

JANUARY 30-31, 2024

Bank/Company Name _____ Phone _____

Registrant Name _____ Title _____ E-mail _____

Registrant Name _____ Title _____ E-mail _____

Address _____ City _____ State _____ Zip _____

Registration Contact _____ Phone _____ E-mail _____

PAYMENT INFORMATION

Charge my: Mastercard  Visa  American Express  Discover 

Account Number _____

Name on Card _____

Expiration Date _____ CSC Number _____

(3-digit security code on back of your card)

Billing Zip Code _____

If you would prefer to pay via credit card over the phone, please call the ABA at (501) 376-3741. Please do not email your credit card information.

Note: Non-Members must pay with a credit card or check prior to the event.

Email: abigail.johnson@arkbankers.org

Fax: (501) 376-9243

Mail: Check Payable to:
Arkansas Bankers Association
Professional Development Department
1220 West Third Street
Little Rock, AR 72201

ABA USE ONLY:

Registered: _____

Amount: _____

Received: _____